

Customized PTO/SB/08A

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Sheet 1 of 1

Application No.: 10/031,199 Filing Date: January 15, 2002

First Named Inventor: CANNING, John

Group Art Unit: Examiner Name:

Attorney Docket No.: P07482US00/MP

U.S. PATENT DOCUMENTS					
Exam. Initial	Document No. Number - Kind	Publication Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures	
M	5,164,956	11-17-1992	Lang		
THE	5,768,454	06-16-1998	Chesnoy et al.		
m	5,631,762	05-20-1997	Kataoka	U.S. Equiv. of Derwent Abstract No. 95-015352/03	
m	5,140,149	08-18-1992	Sakata et al.	U.S. Equiv. of EP 386797	
			 		

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DOCUMENT Country-Number-Kind	Publ. Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures	Trans		
WO 86/01286	02-27-1986	United Technologies				
GB 2 209 408	05-10-1989	The Plessey Co., PLC				
EP 386797	09-12-1990	Canon Kabushiki	See U.S. Equiv. 5,140,149			
	DOCUMENT Country-Number-Kind WO 86/01286 GB 2 209 408	DOCUMENT Publ. Date MM-DD-YYYY WO 86/01286 02-27-1986 GB 2 209 408 05-10-1989	DOCUMENT	DOCUMENT Country-Number-Kind Publ. Date MM-DD-YYYY Name Patentee or Applicant Passages or Figures Relevance Passages or Figures WO 86/01286 02-27-1986 United Technologies GB 2 209 408 05-10-1989 The Plessey Co., PLC EP 386797 09-12-1990 Canon Kabushiki See U.S. Equiv.		

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exam.	Author Name (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No.,	Trans
Initial	Publisher, City and/or Country where published	
m	Optics Communications, Vol. 171, December 1999 (Elsevier), J. Canning,	T
	M.G. Sceats, and S. Fleming, 'Granting structures with phase mask period in	
	silicon-on-silicon planar waveguides", pp. 213-217	1
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-(M)	DE 4419038 A (Hitachi Klki KK) 8 December 1994 - Abstract	ı
10.0	See U.S. Equivalent 5,631,762	1

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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Customized PTO/SB/08A-B (04-03)

Application Number 10/031,199 Filing Date First Named Inventor

June 27, 2002 CANNING 2873

Art Unit Examiner Name

Docket Number | P07482US00/MP

	U.S. PATENT DOCUMENTS						
Exam. Document No. Publication Date Initial* Number - Kind MM-DD-YYYY			Name Patentee or Applicant	Relevance Passages or Figures			
m	5,367,588	11-22-1994	Hill, et al				
				 			
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Exam. Initial*	DOCUMENT Country-Number-Kind	Publ. Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages/Figures	Trans- lation
					

	NON PATENT LITERATURE DOCUMENTS					
Exam. Initial*	Author NAME (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No., Publisher, City and/or Country where published	Trans-				

Examiner Signature	Tuesdo Date Considered 16/2003
* C 4 101 414	1.79 III

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.